

# SWARM

Robust and fast clustering method for amplicon-based studies

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Background

Clustering Methods

SWARM

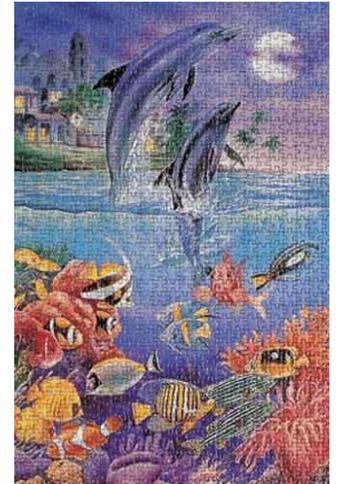
SWARM v2

Summary & Discussion points



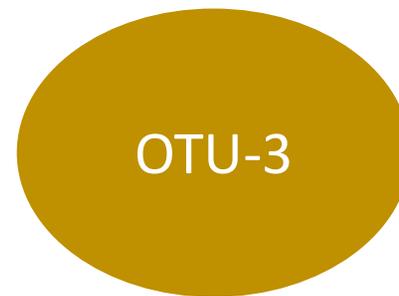
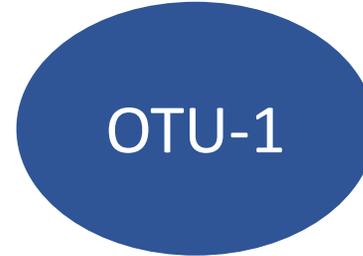
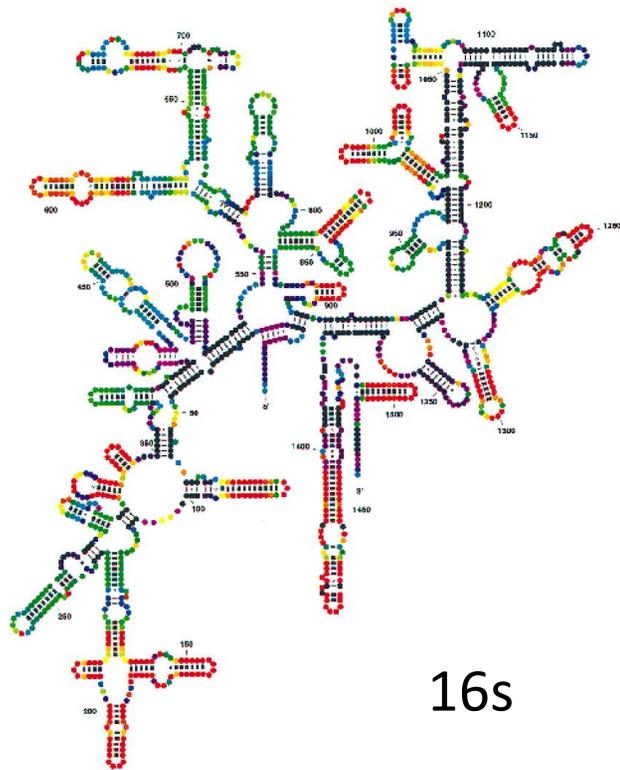
# Background

## Targeted Sequencing



# Background

## Targeted Sequencing



## Clustering Methods



# Clustering Methods

Two major types:

1. reference based

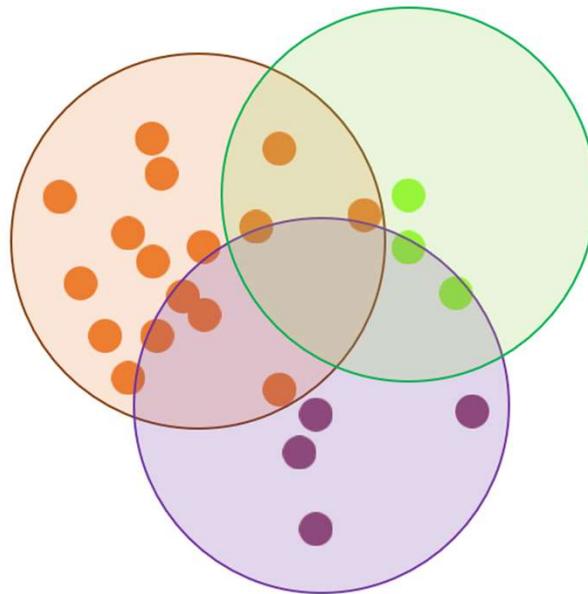
2. de-novo

# Greedy Clustering Methods

Two main properties:

1. Global threshold  $t$  (usually 97%)
2. One-shot

# Greedy Clustering Methods



# Greedy Clustering Methods

Two major problems with the greedy approach:

1. Order matters
2. Fixed global threshold

# SWARM

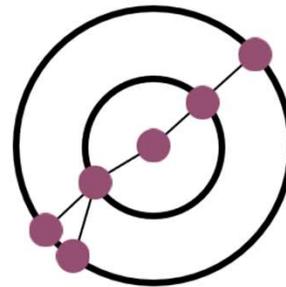
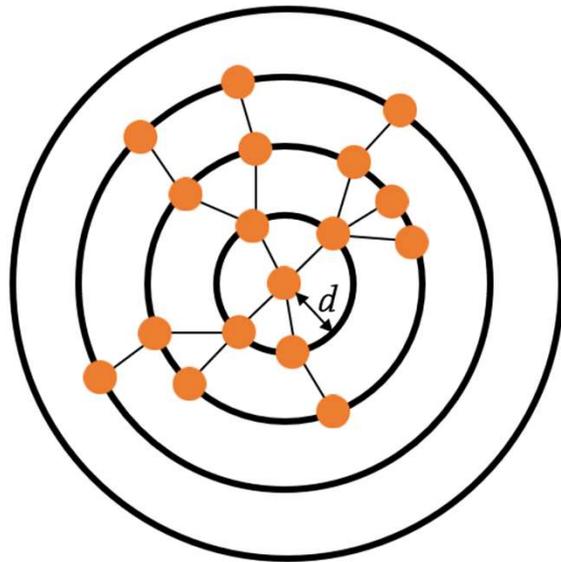
SWARM tackles these two problems:

1. Order **doesn't** matter

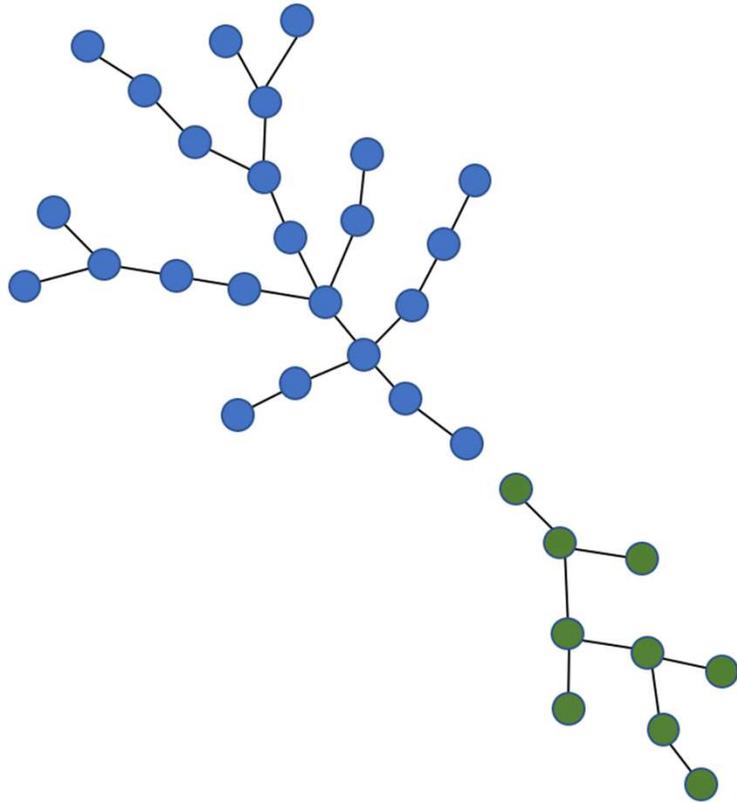
2. **No** fixed global threshold

( rather – it uses a local threshold  $d$  )

# SWARM



# SWARM

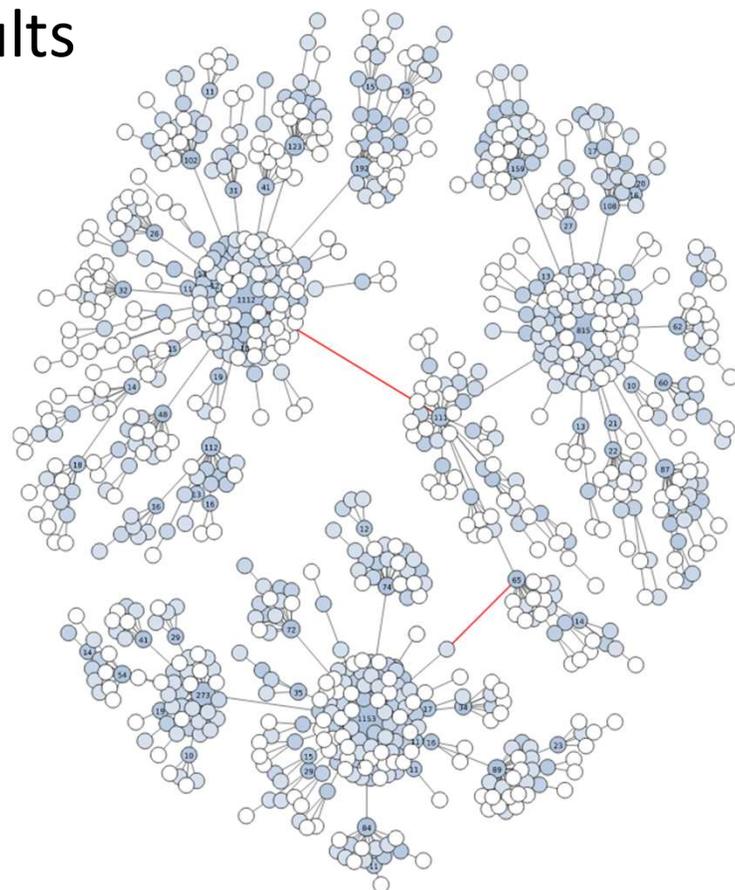
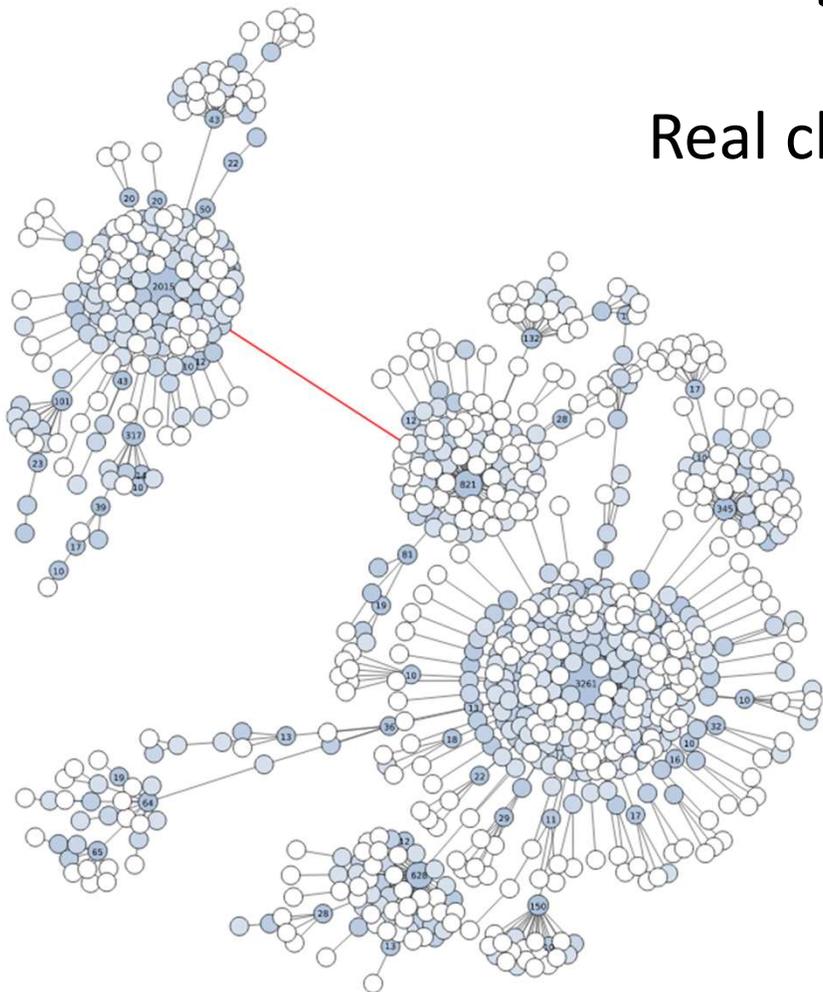


Solution:  
Refine the clusters  
(break up chains)

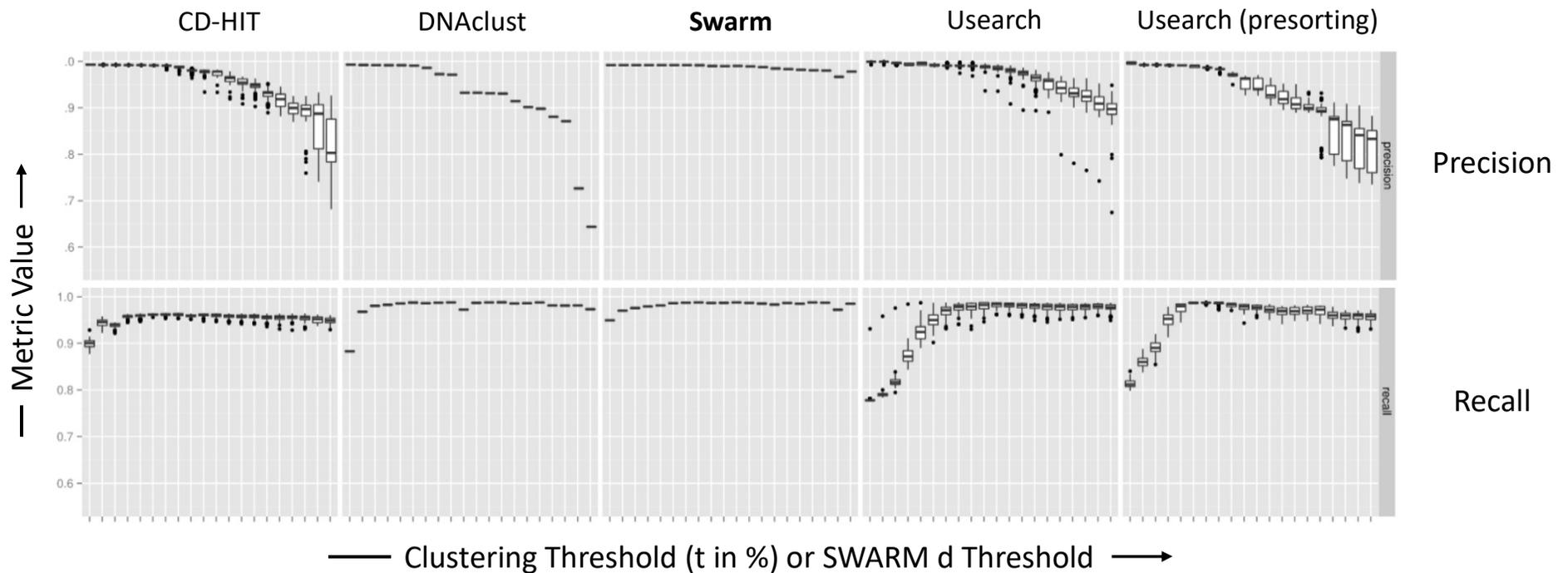
1. Detect “Peaks”
2. Go towards the minimum
3. Detect the “Valleys”
4. If ratio (between peak and valley) is high, break the chain

# SWARM

Real clustering results



# Numerical Results



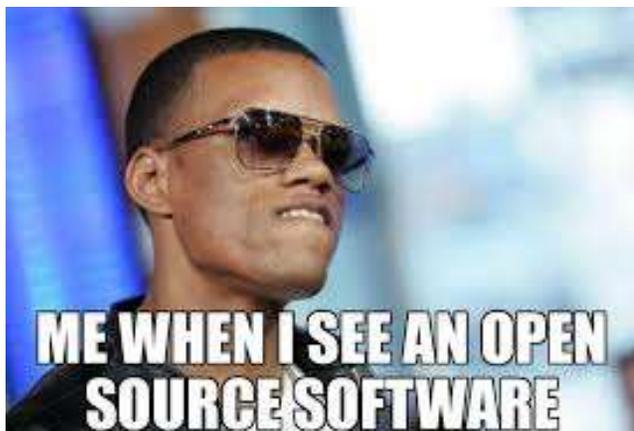
**Precision:** % of amplicons (sequences) assigned to the same OTU actually of the same species.

**Recall:** % of amplicons assigned to the same species grouped in the same OTU.

# SWARM

More reasons we like SWARM:

Open Source



( <https://github.com/torognes/swarm> )

Parallelizable



Cool Name



## SWARM v2

Two major improvements:

1. Big speed improvement ( $O(n^2) \rightarrow O(nL)$ )
2. Avoiding small (low abundant) OTUs

# SWARM v2

## 1. Speed Improvement (only for $d = 1$ )

### Microvariants

Substitution:	$\overbrace{\text{AGAGAATCAGT} \color{red}{\text{A}} \text{TAGCCGAGACTAGAG}}^L$ $\text{AGAGAATCAGT} \color{red}{\text{C}} \text{TAGCCGAGACTAGAG}$	$3L$
Insertion:	$\text{AGAGAATCAGTATAGCCGAGACTAGAG}$ $\text{AGAGAATCAGTATAGCCGAT} \color{red}{\text{T}} \text{GACTAGAG}$	$3(L+1)+1$
Deletion:	$\text{AGAGA} \color{red}{\text{A}} \text{TCAGTATAGCCGAGACTAGAG}$ $\text{AGAGATCAGTATAGCCGAGACTAGAG}$	$L$

# SWARM v2

## 1. Speed Improvement

Total number of microvariants are linear in length: maximum of  $7L + 4$

Instead of comparing each sequence to all sequences, use a **hash table!**

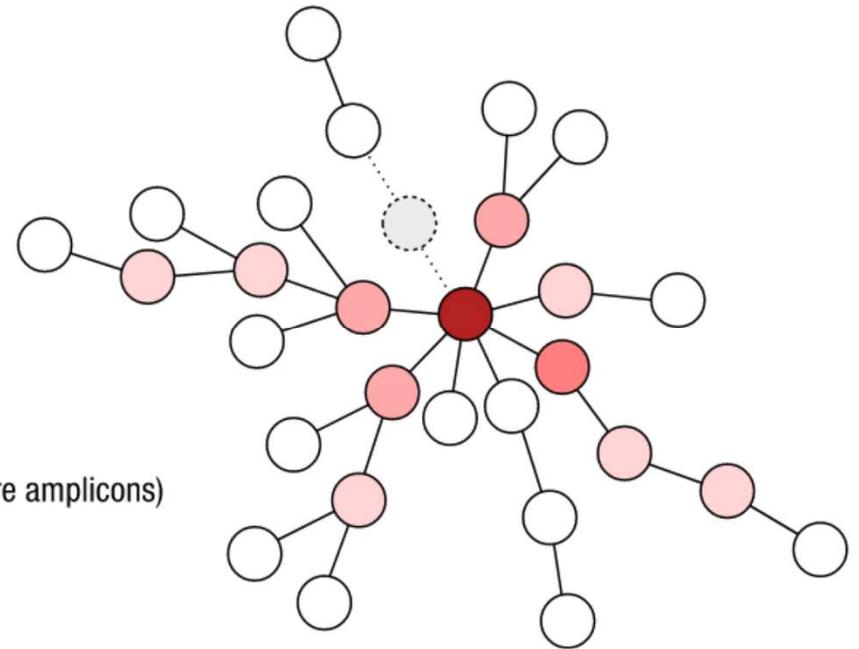
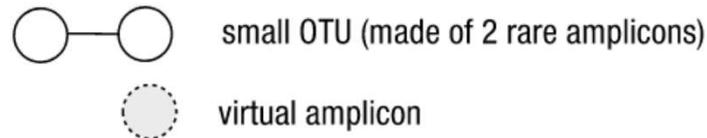
Time complexity drops from  $O(n^2)$  to  **$O(nL)$**

(Increased memory complexity due to hash table, but shown to be linear)

# SWARM v2

## 2. Avoiding small (low abundant) OTUs

Low abundant OTUs (< 3 amplicons) are checked against large abundant OTUs and merged if share a microvariant.

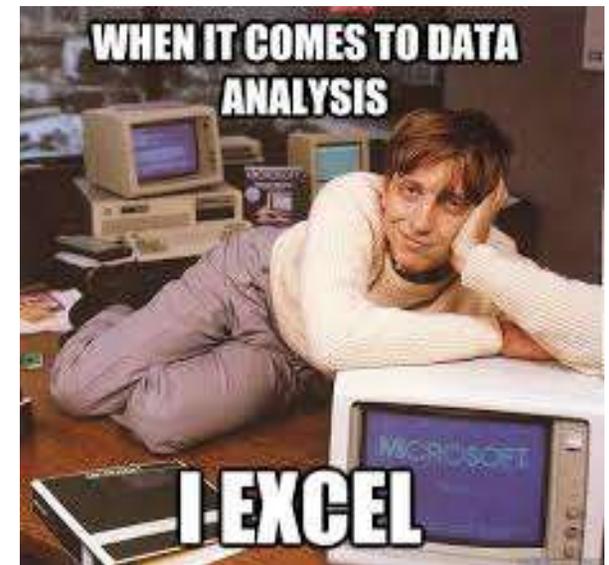


# Summary

SWARM (v2) offers improved and more natural clustering method:

1. Uses a local, more natural, threshold
2. Not sensitive to user choices and ordering
3. Speed improvements on second version

Now we can take the data (OTUs) and do science!



# Discussion Points

- We've seen precision vs recall in the context of OTU clustering. If they are 'one on the account of the other' (assuming evenly), do we have a clear preference on which one is more important?

*\*Precision: % of amplicons assigned to the same OTU actually of the same species.*

*\*Recall: % of amplicons assigned to the same species grouped in the same OTU.*

- How can open source algorithms, libraries and pipelines affect private and recreational research?  
Will this field always remain accessible to researchers only?